

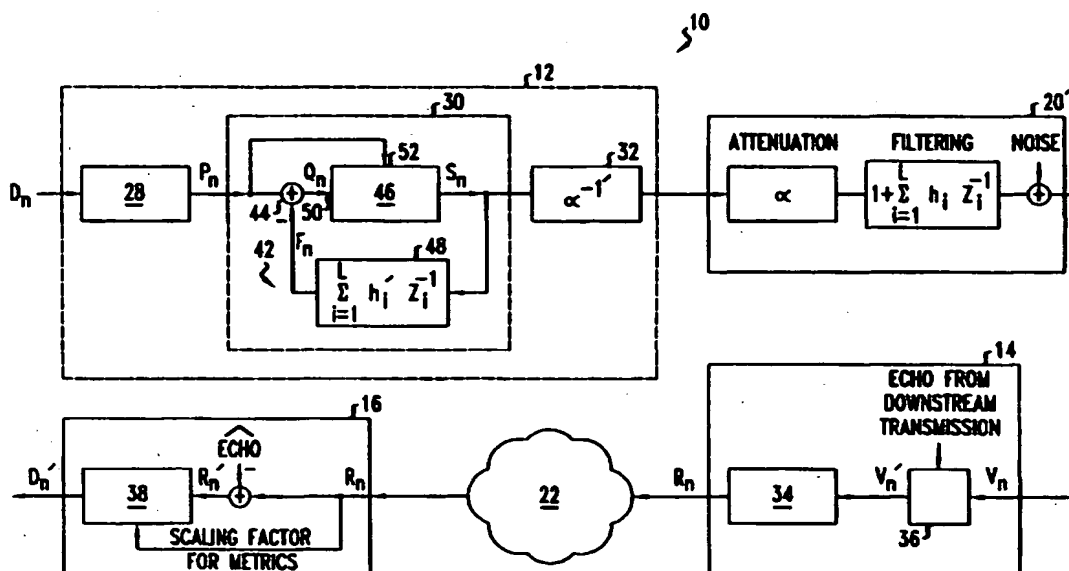
(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
18 October 2001 (18.10.2001)

PCT

(10) International Publication Number  
**WO 01/78241 A2**

- (51) International Patent Classification<sup>7</sup>: **H04B** (72) Inventor: **LEE-FANG, Wei**; 200 Yale Drive, Lincroft, NJ 07738 (US).
- (21) International Application Number: PCT/US01/11162 (74) Agent: **MCCABE, John, F.**; Lucent Technologies Inc., P.O. Box 679, Holmel, NJ 07733-3030 (US).
- (22) International Filing Date: 5 April 2001 (05.04.2001) (81) Designated States (*national*): CA, JP, KR.
- (25) Filing Language: English (84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).
- (26) Publication Language: English
- (30) Priority Data:  
60/195,494 6 April 2000 (06.04.2000) US  
60/249,123 16 November 2000 (16.11.2000) US
- (71) Applicant: **LUCENT TECHNOLOGIES INC.** [US/US]; 600 Mountain Avenue, Murray Hill, NJ 07974-0636 (US).
- Published:  
— without international search report and to be republished upon receipt of that report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: UPSTREAM DATA TRANSMISSION



(57) Abstract: A process transmits digital data to an A/D converter via an analog channel. The process includes generating a sequence of output signals by precoding a sequence of input signal points to precompensate for ISI in the analog channel. In the precoding is matched to the ISI, at least one of the output signals would be distorted by the ISI to a signal representative of a signal point lying between quantization levels of the A/D converter. If the precoding is matched to the ISI, others of the output signals would be distorted by the ISI to signals representative of signal points that are quantization levels of the A/D converter. A majority of the input signal points are quantization levels of the A/D converter.

## **UPSTREAM DATA TRANSMISSION**

This application claims the benefit of U.S. Provisional Application No. 60/195,494; filed April 6, 2000; and U.S. Provisional Application No. 60/249,123; filed November 16, 2000.

### **5   BACKGROUND OF THE INVENTION**

#### **Technical Field**

The present invention relates to transmitting digital data over analog channels.

#### **Background Art**

10       In many communication systems, a modem is used to transmit digital data. The modem converts the data into a sequence of signal points and transmits the signal points to a near-end central office via an analog channel. In the near-end central office, a pulse code modulation voice coder (PCM vocoder) maps the received signal points to a set of quantization levels. The near-end central office  
15       transmits the sequence of quantization levels upstream to a far-end transceiver via a digital network.

      In the analog channel, continuous time signals broaden and overlap through a phenomenon referred to as inter-symbol interference (ISI). To remove ISI, conventional modems precode the signals prior to transmission to the analog  
20       channel. After precoding, channel broadening does not produce ISI. Instead, channel broadening converts signals that represented signal points of an original constellation prior to precoding into signals that represent signal points of an extended signal constellation. Conventionally, both original and extended constellations are derived from the quantization levels of the PCM vocoder.  
25       Precoding based on such constellations provides good noise performance in the absence of channel noise and echo.

      Quantization replaces the continuous values of signals received from the analog channel by discrete levels through a process that includes sampling and either truncation or rounding of the received signals. Since quantization replaces  
30       continuous values by discrete levels, quantization introduces noise into the

transmitted data. The magnitude of this quantization noise depends on the distance between the quantization levels of the PCM vocoder.

The far-end transceiver transmits signal points downstream to the near-end central office via the digital network. In the near-end central office, the signal points are converted into continuous time signals that are transmitted downstream to the modem via the same analog channel that carries other data upstream. The downstream transmission does not generate quantization noise if the values of signal points from the far-end transceiver correspond to quantization levels in the near-end central office. Quantization noise does not occur, because the digital network does not distort signal points. Thus, the near-end central office receives from the far-end transceiver signal points that correspond to the quantization levels.

### **DISCLOSURE OF INVENTION**

Conventional precoders use extended constellations in which the signal points are quantization levels of a PCM vocoder. However, the PCM vocoder receives the signal points from an analog channel, and the analog channel introduces other noise besides ISI into signals. This other channel noise causes the received signal points to take values that differ from those of the extended constellation and to differ from the quantization levels. Also, prior to quantization in the PCM vocoder, echo from downstream transmission is added to the received signal points. The echo also causes the signal points to take values that differ from the quantization levels. For both reasons assigning the value of a quantization level to each signal point of the extended constellation sometimes leads to unacceptable levels of quantization noise.

In one aspect, the invention features a process for transmitting digital data to an A/D converter via an analog channel. The process includes generating a sequence of output signals by precoding a sequence of input signal points to precompensate for intersymbol interference (ISI) in the analog channel. In response to the precoding matching the ISI, at least one of the output signals would be distorted by the ISI to a signal representative of a signal point lying between quantization levels of the A/D converter. In response to the precoding matching the ISI, others of the output signals would be distorted by the ISI to

signals representative of signal points that are quantization levels of the A/D converter. A majority of the input signal points are quantization levels of the A/D converter.

5

In another aspect, the invention features a process for communicating data over an analog channel in both downstream and upstream directions. The process includes monitoring an echo level caused by downstream data transmission and resetting a power level for downstream transmissions over the analog channel to a lower value. The act of resetting is responsive to determining that the monitored echo level interferes with upstream data transmissions over the analog channel.

Other advantages of the invention will be apparent to those of skill in the art from the description and drawings herein.

#### **BRIEF DESCRIPTION OF DRAWINGS**

15 Figure 1 shows a communication system for transmitting digital data;

Figure 2 is a block diagram that shows how data flows upstream in the communication system of Figure 1;

Figure 3 shows the  $\mu$ -law quantization levels used by some PCM vocoders;

20 Figure 4 shows an underlying space of signal points for constructing extended constellations with minimum distance 32 for precoders that transmit to PCM vocoders;

Figures 5A-5B show an extended constellation with minimum distance 32 that is used to precode data for transmission to a PCM vocoder;

25 Figures 6A-6B show another extended constellation with minimum distance 32 that is used to precode data for transmission to a PCM vocoder;

Figure 7 shows an underlying space of signal points for constructing extended constellations with minimum distance 16 for precoders that transmit to PCM vocoders;

30 Figures 8A-8B show an extended constellation with minimum distance 16 that is used to precode data for transmission to a PCM vocoder;

Figures 9A-9B show another extended constellation with minimum distance 16 that is used to precode data for transmission to a PCM vocoder;

Figure 10 is a block diagram that shows how data flows downstream in one embodiment of the communication system of Figure 1; and

5 Figure 11 is a flow chart for a process that sets downstream power levels in the communication system of Figures 1, 2, and 10.

### **MODES FOR CARRYING OUT THE INVENTION**

In conventional modems, quantization noise occurs, because transmitted signal points are distorted by channel noise before the signal points are quantized by a PCM vocoder. Similarly, echoes in a full-duplex system distort transmitted signal points before those points are quantized by the PCM vocoder. In light of such a situation, eliminating quantization noise is not possible.

The various embodiments reduce occurrences of dangerous quantization noise with values that are too large to be corrected by error-correcting coding techniques. The reduction in such large quantization noise can thus, improve the receiver's capability to recover transmitted data.

Figure 1 shows a full-duplex communication system 10 for digital data. The system 10 includes a user transceiver 12, a near-end central office 14, and a far-end transceiver 16. The user transceiver 12 couples to the near-end central office 14 via an analog channel 20, e.g., a telephone local loop. An exemplary user transceiver 12 includes a modem. The far-end transceiver 16 couples to the near-end central office 14 via a digital network 22. Exemplary far-end transceivers 16 include servers for Internet service providers.

Herein, upstream and downstream refer to data flows from user transceiver 12 to far-end transceiver 16 and from the far-end transceiver 16 to the user transceiver 12, respectively. The two data flows differ in whether central office 14 and PCM vocoder therein process the data after or before transmission of the data over analog channel 20.

Figure 2 illustrates how system 10 of Figure 1 processes upstream flowing digital data. A transmitter located in near-end transceiver 12 receives a sequence of digital data,  $D_n$ . The transmitter sends the received  $D_n$ s to trellis encoder 28 where the  $D_n$ s are sequentially encoded to produce an output stream of encoded

digital signal points,  $P_n$ . The encoding allows subsequent reduction of transmission errors in far-end transceiver 16. Exemplary encoders 28 are the 4D 16-, 32- or 64-state trellis encoders used in V.34 and V.90 modems. The details for such encoders are described in U.S. Patent Nos. 5,418,798 and 4,713,817, which are incorporated by reference herein in their entirety.

Trellis encoder 28 sends the  $P_n$ s to precoder 30 which generates a sequence of signal points,  $S_n$  that are precompensated for inter-symbol interference (ISI) in analog channel 20'. From the precoder 30, continuous time signals representative of signal points,  $S_n$ , go to amplifier 32. The amplifier 32 amplifies the signals by a factor " $\alpha^{-1}$ " that is selected to precompensate for attenuation in the analog channel 20' and transmits the amplified signals to analog channel 20'.

For analog channel 20', input and output continuous time signals represent respective digital signals  $\alpha^{-1} S_n$  and  $V_n$ , which are sent during signal transmission intervals. Below, we will primarily refer to these equivalent digital signals.

Analog channel 20' includes analog elements between precoder 30 in the user transceiver 12 and PCM vocoder 34 in near-end central office 14. Thus, the channel 20' includes analog channel 20, output portions of user transceiver 12, and input portions of near-end central office 14, as shown in Figure 1. The analog channel 20' attenuates transmitted signal points by a factor  $\alpha$  and filters the signal points with equivalent digital filter responses  $h_i$ s. The " $h_i$ " describes the amount of ISI that a signal point of the " $i$ "-th earlier transmission interval produces in a signal point of the present transmission interval. The analog channel 20' also adds channel noise to an input signal, e.g., Gaussian noise, to produce an output signal that is representative of a signal point,  $V_n$ .

Prior to and/or during data communications, user transceiver 12 and far-end central office 16 perform channel testing to estimate the parameters  $h_i$  and  $\alpha$ , which characterize analog channel 20'. The channel testing enables the user transceiver 12 to set parameters  $h_i'$ ,  $\alpha^{-1}$  of the precoder 30 and amplifier 32, respectively, to precompensate for filtering,  $h_i$ , and attenuation,  $\alpha$ , generated by the channel 20'.

From analog channel 20', the output signal points,  $V_n$ , undergo further corruption by echoes in near-end central office 14. The echoes result from impedance mismatches in a hybrid device 36 located in the near-end central office 14. The hybrid device 36 causes downstream flowing signal points to be partially reflected upstream. The echo corrupted signal points,  $V_n'$  ( $V_n' = V_n + \text{echo}$ ), are received by PCM vocoder 34, which quantizes the signal points,  $V_n'$ , to produce output digital words,  $R_n$ . Since the signal points,  $V_n'$ , include channel noise and echo, the PCM vocoder 34 introduces quantization noise into the digital words,  $R_n$ .

PCM vocoder 34 quantizes the corrupted signal points,  $V_n'$ , into 8 bit words according to the known  $\mu$ -law or the known A-law. Below, the  $\mu$ -law is used as an exemplary quantization law for the PCM vocoder 34. The near-end central office 14 transmits the sequence of  $R_n$ s upstream to far-end transceiver 16 via digital network 22.

In far-end transceiver 16, a receiver receives the "echo" corrupting digital words,  $R_n$ , from digital network 22. In parallel, each  $R_n$ s is sent to a monitoring device and processed to produce a signal point  $R_n'$  from which an estimated value of echo has been subtracted. The monitoring device derives reliability information from each  $R_n$ . The Viterbi decoder 38 decodes the sequence of echo subtracted  $R_n$ 's to produce a sequence of output data values,  $D_n$ 's. The  $D_n$ 's are estimations of the input data values,  $D_n$ , originally transmitted by user transceiver 12. The encoding previously performed by trellis encoder 28 enables the far-end transceiver 16 to use Viterbi decoder 38 to recover the transmitted data from the noise-corrupted digital words,  $R_n$ '.

The Viterbi decoder 38 takes account of the reliability information derived from the received digital words,  $R_n$ , prior to the removal of echo. Digital words,  $R_n$ , that correspond to large quantization levels of PCM vocoder 34 are less reliable, because these  $R_n$ s may contain large quantization noise. The reliability information is also a function of the minimum distance,  $d$ , of the constellation.

The reliability information is used to scale the one-dimensional (1D) branch metrics that are calculated at the front end of the Viterbi decoder 38. The value of scaling factor  $\beta(R_n)$  depends on the relationship between the maximum

possible quantization noise,  $q$ , for a value of  $R_n$ , and the minimum distance,  $d$ , in the 1D constellation of signal points,  $P_n$ . An exemplary  $\beta(R_n)$  has the following form:

$$\begin{aligned} \beta(R_n) &= 1 \text{ for } q < d/2; \beta(R_n) = (0.75)^2 \text{ for } q = d/2; \\ \beta(R_n) &= (0.375)^2 \text{ for } q = d; \beta(R_n) = (0.1875)^2 \text{ for } q > d. \end{aligned}$$

The value of  $\beta(R_n)$  is roughly inversely related to the magnitude of the signal point represented by  $R_n$ , because quantization noise grows with the magnitude of the signal point.

The Viterbi decoder 38 calculates a path metric for each encoder state (or each surviving path). For 4-dimensional (4D) trellis codes, each path metric is an accumulation of 4D branch metrics. Each 4D branch metric is associated with a 4D subset of signal points of a 4D constellation. Since the 4D subsets are constructed from concatenations of four 1D subsets of a 1D extended constellation, each 4D branch metric is the sum of four 1D branch metrics.

For the 4D trellis codes, scaled 1D branch metrics are then calculated as follows. Depending on the number of states of the trellis code, the 1D extended constellation, e.g., a constellation of Fig. 5, 6, 8, or 9, is partitioned into two or four 1D subsets. In Figs. 5, 6, 8, and 9, each 1D subset consists of signal points that correspond to bit patterns with the same value for the last bit (for two 1D subsets) or the last two bits (for four 1D subsets). For a received signal point  $R_n'$ , a 1D metric is calculated for each 1D subset. The 1D metric is the squared Euclidean distance between  $R_n'$  and the closest signal point in the 1D subset. Then, the 1D branch metric is scaled before being used to calculate 4D branch metrics and path metrics.

Referring again to user transceiver 12, precoder 30 is a Tomlinson type device that precompensates the sequence of signal points,  $P_n$ , for ISI in analog channel 20'. The precompensation involves replacing input signal points,  $P_n$ , of an original constellation, which is derived from the quantization levels of the PCM vocoder 34, by output signal points,  $S_n$ . Provided that the precoding is matched to the channel ISI, subsequent filtering by analog channel 20' converts the output signal points,  $S_n$ , into signal points,  $V_n$ , which, in the absence of



channel noise, belong to an extended constellation over the original constellation. The original and extended constellations define the precoder 30.

The precoder 30 includes a feedback loop 42, an adder 44, and a modulo device 46.

5 The feedback loop 42 includes a finite impulse response (FIR) filter 48, which filters signal points,  $S_n$ , output during earlier signaling intervals. The FIR filter 48 has a digital response matched to that of channel 20'. In an exemplary precoder 30, the response of filter 48 is matched to that of the channel 20' during an initialization process. The filter's response is:

$$10 \quad \sum_{i=1}^L h_i' Z^{-i}$$

where  $Z^{-i}$  is a delay of " $i$ " signaling intervals. During each signaling interval, FIR filter 48 produces a feed back signal point,  $F_n$ .

The amount of feedback by filter 48 is described by a parameter  $\gamma$ , defined as:

$$15 \quad \gamma = \sum_{i=1}^L |h_i'|.$$

Larger feedback, i.e.,  $\gamma$ , leads to larger average magnitudes for output signal points,  $V_n$  at the near-end central office 14. Since larger  $V_n$ s lead to larger quantization noise, smaller values of  $\gamma$  are generally desirable to keep quantization noise small. In part, the value of  $\gamma$  is set by properties of output portions of user transceiver 12. The value of  $\gamma$  also determines the size of extended constellations.

20 During each signaling interval, the adder 44 subtracts the feedback signal  $F_n$  from the input signal point  $P_n$  to produce a signal,  $Q_n$ , that is precompensated for ISI in analog channel 20'. The signal,  $Q_n$ , is given by:

$$Q_n = P_n - F_n = P_n - \sum_{i=1}^L h_i' S_{n-i}.$$

25 The modulo device 46 adds a positive or negative modulo amount,  $M(P_n)$ , to the  $Q_n$  to produce an output signal,  $S_n$ , that lies in a preselected range, i.e.,  $S_n = Q_n + M(P_n)$ . In the absence of channel noise, transmission of  $S_n$  through the analog channel 20' produces:

$$V_n = P_n + M(P_n).$$

Thus, the  $V_n$ s belong to an extended constellation constructed over the original constellation of the  $P_n$ s by adding modulo amounts  $M(P_n)$ . The extended constellation includes the original constellation of the signal points,  $P_n$ , and signal points offset from points of the original constellation by the modulo amounts  $M(P_n)$ . The signal points related by modulo amounts  $M(P_n)$  are recognized by the far-end transceiver 16 as corresponding to the same original signal point,  $P_n$ .

Since the signal points of the extended constellation are non-uniformly spaced, the modulo amounts,  $M(P_n)$ , depend on the value of  $P_n$ . Modulo device 46 includes an input terminal 52 for the value of  $P_n$ .

Modulo device 46 adds a positive or negative modulo amount,  $M(P_n)$ , to a signal point,  $Q_n$ , in response to the value of  $Q_n$  being, respectively, less than a preselected negative threshold or greater than a preselected positive threshold. Some embodiments of modulo device 46 use variable thresholds whose values depend on  $P_n$  and are equal to  $-\frac{1}{2}M(P_n)$ . Other embodiments of modulo device 46 use fixed thresholds. The negative and positive fixed thresholds are, e.g.,  $T_- = -\frac{1}{2}$  times the maximum value of all positive modulo amounts  $M(P_n)$ , and  $T_+ = \frac{1}{2}$  times the minimum value of all negative modulo amounts,  $M(P_n)$ , respectively. Here, the maximum or minimum refers to maximum or minimum values of  $M(P_n)$  for signal points in the original constellation.

The magnitude of quantization noise increases as magnitudes of signal points,  $V_n$ , increase. For signal points with large magnitudes, echo and channel noise can induce quantization noise that cannot be corrected by far-end transceiver 16. Thus, a precoder 30 that sends, on the average, smaller signal points,  $V_n$ , to PCM vocoder 34 will ordinarily produce lower amounts of this dangerous type of quantization noise. Since the magnitudes of fixed thresholds are larger than the variable thresholds, embodiments of modulo device 46 with fixed thresholds will less often add modulo amounts to signal points  $Q_n$  and thus, will less often generate large magnitude signal points,  $V_n$ , at the PCM vocoder 34. Thus, a precoder 30 with a fixed threshold often produces lower quantization noise than a precoder 30 with variable thresholds. On the other hand, a precoder 30 with variable thresholds should produce lower average output powers. Thus, a

transceiver 12 with a variable threshold precoder 30 could compensate higher attenuation in analog channel 20'.

Conventional precoders tried to precompensate for ISI in analog channel 20' so that in the absence of channel noise and echo, signal points would have exactly the values of the quantization levels upon arrival at a receiver's PCM vocoder, e.g., PCM vocoder 34 of Figure 2. Unfortunately, channel noise and echo cannot be predicted, and thus, cannot be precompensated before transmission. In the presence of substantial channel noise and echo, conventional precoding cannot match signal points to quantization levels at a receiver's PCM vocoder and thus, conventional precoding may produce large quantization noise.

Precoder 30 has an extended constellation that is, on the average, less likely to produce large quantization noise in the presence of echo and channel noise. The smaller quantization noise results, because the signal points of the extended constellation of precoder 30 have a smaller average magnitude than in a conventional extended constellation with the same number of signal points and minimum distance. The smaller quantization noise is more easily corrected by subsequent decoding in far-end transceiver 16.

Figure 3 shows the quantization levels of a PCM vocoder, which implements  $\mu$ -law quantization. The  $\mu$ -law includes a zero quantization level, 127 positive, levels, and 127 negative levels. The positive and negative quantization levels are symmetrically positioned about the zero quantization level and lie in segments  $\pm A_1 - \pm A_8$ . Within a segment  $\pm A_1 - \pm A_8$ , the quantization levels are approximately uniformly spaced. Between segments, distances between the levels increase with segment index from 1 for segments  $\pm A_1$  to 128 for segments  $\pm A_8$ .

The distance between adjacent quantization levels determines the quantization noise associated with a signal point located near the levels. Thus, quantization errors are higher for signal points located in segments  $\pm A_8$  than for signal points located in the other segments  $\pm A_1 - \pm A_7$ . The magnitude of quantization noise will, on the average, be smaller if the precoder's extended constellation has signal points of a smaller average magnitude.

Referring again to Figure 2, trellis encoder 28 produces signal points,  $P_n$ , that correspond to quantization levels of PCM vocoder 34. When precoder 30 and amplifier 32 are set up to exactly precompensate channel ISI and attenuation, which is referred to as matched to the channel ISI, the precoder 30 transforms  
5 signal points,  $P_n$ , into output signal points,  $S_n$ . For such a set up, the channel ISI would convert output signals representative of the  $S_n$ s to signals representing points of an extended constellation in the absence of channel noise and echo. Some of the signal points of the extended constellation associated with the precoder 30 are not quantization levels of the PCM vocoder 34. Using signal  
10 points that do not correspond to valid quantization levels enables the precoder 30 to reduce the average magnitude of the signal points of the extended constellation. Reducing the average magnitude of such signal points lowers the average magnitude of quantization noise from PCM vocoder 34 in the presence of channel noise and echo so that quantization noise can be more easily corrected during  
15 decoding in far-end transceiver 16.

Since the new extended constellations have signal points that are not quantization levels, these constellations produce some quantization noise even in the absence of channel noise and echo. The system 10 trades off this new production of quantization noise against a reduction in large quantization noise,  
20 e.g., noise associated with segments  $\pm A_8$  and in some embodiments segments  $\pm A_7$  of PCM vocoder 34, in the presence of channel noise and echo. Exemplary large quantization noise has a magnitude that is two or more times the minimum distance,  $d$ , of the associated constellation.

The new extended constellations of precoder 30 are constructed from  
25 underlying spaces of signal points. The underlying spaces take account of the non-uniformity of the quantization levels of the  $\mu$ -law.

Figure 4 shows an underlying space 54 for constructing new extended constellations with a minimum distance of 32 between signal points therein. The constellations are designed for transmitting signal points to PCM vocoder 34,  
30 which uses  $\mu$ -law quantization. The space 54 includes low, medium, and high magnitude signal points 55 - 57. The low magnitude points 55 are quantization levels of the PCM vocoder 34 and have the lowest magnitudes, i.e., up to 991.5.

The low magnitude points 55 are uniformly spaced at the minimum distance of 32. The medium magnitude points 56 have larger magnitudes, i.e., between 1,039.5 and 1,999.5, and are also quantization levels of the PCM vocoder 34. The medium magnitude points 56 are uniformly spaced at distances of 64. The high  
5 magnitude points 57 have the largest magnitudes, i.e., greater than 2,063.5, and include signal points that are quantization levels of the PCM vocoder 34 and signal points that are not quantization levels of the PCM vocoder 34. The signal points 57 that are not quantization levels of the  $\mu$ -law are indicated by "\*"s".

The inclusion of signal points that are not quantization levels of the  $\mu$ -law  
10 enables reducing distances between high magnitude points 57 from about 128 for segments  $\pm A_8$  of PCM vocoder 34, as shown in Figure 3, to 32 in the underlying space 54. The smaller distances between signal points enables a higher density packing of signal points in an extended constellation derived from the underlying space 54 than in an extended constellation derived solely from the quantization  
15 levels of the  $\mu$ -law, as shown in Figure 3. The higher density packing implies that echo and channel noise are more likely to shift signal points,  $V_n'$ , towards smaller magnitude regions of the  $\mu$ -law where subsequent quantization noise will be smaller. The smaller quantization noise can be more easily corrected by decoding in Viterbi decoder 38 of the far-end transceiver 16. Thus, a precoder 30 based on  
20 an extended constellation derived from the underlying space 54 should generate smaller magnitude quantization noise than a conventional precoder that uses an extended constellation derived solely from the quantization levels shown in Figure 3.

Figures 5A-6B and 6A-6B show extended constellations derived from  
25 underlying space 54 of Figure 4. The extended constellations provide minimum distances of 32 between signal points of 40-point and 48-point original constellations 62, 72, respectively. The signal points 62, 72 are representative of bit patterns 63, 73. The extended constellations also have upper portions 64, 74 and lower portions 65, 75 in which signal points are related to signal points of the  
30 original constellations 62, 72 by addition of positive modular amounts 67, 77 and negative modular amounts 68, 78, respectively. The signal points that are related by additions of modulo amounts are representative of the same bit patterns 63, 73.

Exemplary fixed thresholds for adding positive/negative modular amounts to signal point,  $Q_n$ , in precoder 30 can be set at, for example,  $-908/+908$  and  $-1,040/+1,040$  in the extended constellations of Figures 5A-5B and 6A-6B, respectively. The variable thresholds for adding modulo amounts are minus one  
5 half times the values of the modulo amounts themselves.

To derive extended constellations of Figures 5A-5B or 6A-6B from underlying space 54 of Figure 4, one counts the needed number of signal points in the desired extended constellation. The needed number of signal points is determined by the number of upper portions 64, 74 and lower portions 65, 75  
10 desired. Then, one identifies the needed number of signal points of lowest magnitude from the underlying space 54 as the signal points of the extended constellation.

Figure 7 shows an underlying space 80 useful for constructing extended constellations with minimum distance of 16 between signal points therein. The  
15 constellations are designed for a precoder 30 that transmits to a PCM vocoder 34, as shown in Figure 2. The underlying space 80 includes low, medium, and high magnitude signal points 82, 84, 86. The low and medium magnitude points 82, 84 are  $\mu$ -law quantization levels of the PCM vocoder 34 and have magnitudes up to 991.5. The low and medium magnitude points 82, 84 are spaced at distances of  
20 up to 32. The high magnitude points 86 have larger magnitudes, i.e., between 1,023.5 and 1,807.5, and include signal points that are quantization levels of the PCM vocoder 34 and signal points that are not quantization levels of the PCM vocoder 34. The signal points that are not quantization levels of the PCM  
vocoder 34 are indicated by "\*"s".

25 The inclusion of signal points in space 80 that are not quantization levels of the  $\mu$ -law again reduces distances between high magnitude points 86. The magnitude of the quantization noise that can be handled by decoder 38 of Figure 2 is a function of the minimum distance,  $d$ , of the constellation and a function of the coding performed by trellis encoder 28. Where the magnitude of the quantization  
30 noise is smaller, the noise can be more easily corrected during decoding. For the 4D 64-state trellis code, the Viterbi decoder 38 can handle quantization noise of magnitude  $d$ , but not quantization noise of magnitude  $2d$ . If signal points with

potential quantization noise of magnitude  $2d$  have to be used in the extended constellation, it is not very relevant whether the points correspond to valid quantization levels. In fact, a higher density packing of signal points, which is achieved by assigning some of the points to invalid quantization levels, increases the probability that echo or channel noise will lower the magnitudes of signal points  $V_n'$  to values for which the associated quantization noise can be corrected by the decoder 38.

Figures 8A-8B and 9A-9B show extended constellations derived from underlying space 80 of Figure 7. The extended constellations provide 40-point and 48-point original constellations 92, 102, respectively. In the extended constellations, minimum distances between signal points are 16. The signal points 92, 102 are representative of bit patterns 93, 103. The extended constellations have upper portions 94, 104 and lower portions 95, 105 with signal points related to those of the original constellations 92, 102 by addition of positive modular amounts 97, 107 and negative modular amounts 98, 108, respectively. The related signal points correspond to the same bit patterns 93, 103.

Exemplary fixed thresholds for adding positive/negative modular amounts to signal point,  $Q_n$ , in precoder 30 can be set at, for example,  $-459/+459$  and  $-524/+524$  in the extended constellations of Figures 8A-8B and 9A-9B, respectively.

To derive the extended constellations of Figures 8A-8B or 9A-9B, one counts the number of signal points in the extended constellation and uses the signal points of lowest magnitude from the underlying space 80 of Figure 7 as the signal points of the extended constellation. This construction enables noise and echo to shift the signal points,  $V_n'$ , to smaller values where quantization noise is smaller and easier to correct during subsequent decoding.

Referring to Figures 1 and 2, an embodiment of communication system 10 in which near-end central office 14 has an A/D converter that operates according to another quantization law also has an underlying space that is useful in designing the extended constellation of precoder 30. To construct the underlying space with a preselected minimum distance between its signal points, the quantization levels of the quantization law are labeled as high or low magnitude

levels. At high magnitude levels, quantization noise is too large to be corrected by a preselected channel-coding scheme with the constellation derived from the underlying space. For high magnitude levels, a signal point corresponding to each quantization level is added to the underlying space. Between the high magnitude  
5 levels, additional signal points are added to the underlying space to ensure that distances between adjacent signal points are equal to the preselected minimum distance. Finally, the underlying space is completed by adding signal points corresponding to the low magnitude quantization levels so that the minimum distance between these points is the same as the preselected minimum distance.

10 An extended constellation is formed by selecting from the underlying space the needed number of signal points of lowest magnitude.

Since echoes from downstream transmission increase quantization noise associated with upstream transmission. Some embodiments of communication system 10, as shown in Figure 1, reduce echo levels from downstream  
15 transmission in order to lower quantization noise associated with upstream transmission. Figure 10 illustrates one such embodiment of the communication system 10.

Figure 10 is a block diagram showing downstream transmission in one embodiment of communication system 10 of Figure 1. In a downstream  
20 transmission, far-end transceiver 16 sends input digital data,  $D_n$ , to a channel encoder 110. The encoder 110 produces encoded signal points,  $P_n$ , by a coding scheme that can reduce the signal power transmitted to analog channel 20' without sacrificing the data rate or degrading the error rate performance in the downstream transmission. Exemplary encoders 110 include trellis encoders  
25 compatible with the V.34 and V.90 standards and probabilistic trellis encoders as described in U.S. patent No. 6,157,678, which is incorporated herein by reference in its entirety. The encoder 110 transmits a sequence of the signal points  $P_n$  to near-end central office 14 via digital network 22.

The lowered downstream power reduces echo to upstream transmissions  
30 in near-end central office 14. The lowered echo reduces quantization noise in the upstream transmissions. In some embodiments, downstream average power levels are reduced by about 3-6 decibels with respect to optimal power or



regulatory allowed power levels for such transmissions in order to increase the data rate for upstream transmissions. In exemplary embodiments, the downstream power is reduced by using a constellation with a reduced minimum distance.

In the near-end central office 14, PCM vocoder 34' converts the digital  
5 signal points,  $P_n$ , from far-end transceiver 16 into analog signals. The output analog signals are continuous in time, but represent digital signal points,  $X_n$ . The conversion does not produce quantization noise, because the constellation of the  $P_n$ s only includes quantization levels of the PCM vocoder 34'. Furthermore, unlike an analog channel, a digital network 22 does not distort the signal points,  
10  $P_n$ , transmitted therethrough. After conversion, the signals representing the  $X_n$ s are sent to hybrid device 36. From the signal representing  $X_n$ , the hybrid device 36 produces an output signal representing signal point  $X_n'$  in the downstream and an echo in the upstream.

The analog channel 20' distorts the signals representing signal points,  $X_n'$ ,  
15 which were received from hybrid device 36, with channel noise, ISI, and attenuation prior to delivering distorted signals representing signal points,  $T_n$ , to user transceiver 12.

The user transceiver 12 includes a decoder 114 that corrects transmission noise and recovers a sequence of estimated data values,  $D_n'$ . The decoder 114  
20 uses a decoding algorithm adapted to the type of encoding performed in far-end transceiver 16. For example, if the far-end transceiver 16 performed trellis encoding, the decoder 114 performs a Viterbi decoding algorithm.

Figure 11 shows a process 120 used by far-end transceiver 16 of Figures 1, 2, and 10 to set transmission powers. The far-end transceiver 16 monitors echo  
25 levels produced by downstream transmission (step 122). The echo is produced by hybrid device 36 in near-end central office 14. If the echo level is large enough to interfere with upstream data transmission, e.g., by generation of quantization noise, the far-end transceiver 16 reduces the average downstream power to lower the echo level (124). Reducing the downstream power may include using a  
30 constellation that has a smaller size or a smaller minimum distance for downstream transmissions. The far-end transceiver 16 may also apply a coding scheme to data prior to downstream transmission so that the downstream power

can be reduced without lowering the downstream data rate or degrading the downstream error performance.

Referring again to Figure 2, other embodiments of precoder 30 use an extended constellation with a size that is more than 3 times that of the original constellation. For example, the extended constellation may have more than one upper portion and/or more than one lower portion. Such larger constellations may be desirable for larger values of feedback parameter  $\gamma$ . For such values, the feedback loop 42 generates signals  $F_n$  of larger magnitude and adder 44 is more likely to produce signals  $Q_n$  of larger magnitude.

In some embodiments, the extended constellation is a non-integral number of times as large as the original constellation.

In other embodiments, PCM vocoder 34 of near-end central office 14 is replaced by a new analog-to-digital (A/D) converter. The new A/D converter quantizes received signal points according to a new quantization law and not the  $\mu$ -law. For such embodiments, precoder 30 has an original constellation that includes signal points that correspond to the quantization levels of the new law and an extended constellation that includes some signal points that are quantization levels and other signal points that are not quantization levels of the new law.

In some embodiments, trellis encoder 28 and precoder 30 are combined into a single encoder/precoder. The combined encoder/precoder is still characterized by original and extended constellations. The combined encoder/precoder produces signal points,  $S_n$ , of the original constellation when configured to precompensate for an analog channel 20' with no ISI, i.e., when the feedback coefficients " $h_i$ " are set to zero. The constellations have signal points whose values are related to the quantization levels of the near-end central office 14 as previously described.

In some embodiments a portion of the signal points of the original constellation do not correspond to quantization levels of the PCM vocoder 34. The majority of the points in the original constellation correspond, however to quantization levels.

Other embodiments will be apparent to those of skill in the art in light of the above description, figures, and claims.

**CLAIMS**

1. A process for transmitting digital data to an A/D converter via an analog channel, comprising:

generating a sequence of output signals by precoding a sequence of input signal points to precompensate for ISI in the analog channel, at least one of the output signals would be distorted by the ISI to a signal representative of a signal point lying between quantization levels of the A/D converter if the precoding matches the ISI, others of the output signals would be distorted by the ISI to signals representative of signal points that are quantization levels of the A/D converter if the precoding matches the ISI, a majority of the input signal points are quantization levels of the A/D converter.

2. The process of claim 1, wherein the quantization levels are the quantization levels of a PCM vocoder.

3. The process of claim 2, wherein the precoding further comprises:  
precompensating an input signal point for the ISI; and  
adding a modulo amount to the precompensated signal point in response to  
precompensated signal point having a value outside of a threshold range.

4. The process of claim 3, wherein the adding includes determining the value of the modulo amount in a manner responsive to the value of the input signal point that was precompensated.

5. The process of claim 4, wherein the threshold range is dependent on the value of the input signal point that was precompensated.

6. The process of claim 4, wherein the threshold range is independent of the value of the input signal point that was precompensated.

7. The process of claim 1, wherein another of the output signals would be distorted by the ISI to a signal representative of a signal point lying between quantization

levels of the A/D converter, first and second ones of the output signals lying between different pairs of quantization levels.

8. The process of claim 1, wherein each of the input signal points is representative of a value of a quantization level of the D/C converter.

5 9. A transmitter for transmitting digital data to an A/D converter via an analog channel, the transmitter comprising:

a precoder being configured to precompensate a sequence of input signal points for ISI in the analog channel and being characterized by an original constellation and an extended constellation, a majority of the signal points of the original constellation being  
10 quantization levels of the A/D converter, and the extended constellation including at least one signal point that is not a quantization level of the A/D converter.

10. The transmitter of claim 9, wherein the quantization levels characterizing a portion of the points in the constellations are quantization levels of a PCM vocoder.

11. The transmitter of claim 10, further comprising:  
15 a trellis encoder to produce the input signal points by encoding digital data, the trellis encoder is coupled to transmit the input signal points to the precoder.

12. The transmitter of claim 11, wherein the trellis encoder is configured to produce signal points representative of the quantization levels of the PCM vocoder.

13. The transmitter of claim 10, wherein the precoder trellis encodes the input  
20 signal points and further produces signals representative of signal points of the original constellation in response to being configured to precompensate for zero ISI in the analog channel.

14. The transmitter of claim 10, wherein the precoder comprises:

a digital filter to generate feedback signal points from precompensated signal points produced from the input signal points by the precoder;

an adder to combine the feedback and associated input signal points; and

5 a modulo device to produce a signal point within a threshold range from a signal point received from the adder.

15. The transmitter of claim 14, wherein the modulo device is configured to add a modulo amount to a signal point received from the adder in response to the received signal point not having a value in the threshold range.

10 16. The transmitter of claim 14, wherein the threshold range is dependent on the value of the input signal point that was precompensated.

17. The transmitter of claim 14, wherein the threshold range is independent of the value of the input signal point that was precompensated.

18. The transmitter of claim 15, wherein the modulo device is configured to determine the threshold range from the value of the associated input signal point.

15 19. The transmitter of claim 9, wherein the extended constellation includes a plurality of signal point values that are not equal to quantization levels of the A/D converter.

20. A process for communicating data over an analog channel in both downstream and upstream directions, comprising:

20 monitoring a quantity representative of an echo level caused by downstream data transmission;

resetting a power level for downstream transmissions over the analog channel to a lower value in response to determining that the echo level interferes with upstream data transmissions over the analog channel.

21. The process of claim 20, further comprising:  
channel encoding data prior to transmitting the data downstream; and  
decoding upstream transmitted data to recover the data.

22. The process of claim 20, further comprising:  
5 resetting includes selecting a constellation for the downstream data transmission  
that produces a lower average power level on the analog channel.

23. The process of claim 20, wherein the echo level changes the amount of  
quantization noise in upstream transmitted data.

24. A transceiver for transmitting and receiving digital data via a mixed  
10 channel that includes a digital network and an analog channel serially connected to the  
digital network, comprising:

a transmitter capable of error-encoding digital data and of transmitting encoded  
data downstream to a target transceiver via the mixed channel; and

15 a receiver capable of error-decoding received digital data transmitted upstream  
from the target transceiver via the mixed channel, the transmitter configured to reduce  
downstream transmission power in response to determining that echo from downstream  
transmissions interferes with upstream transmissions from the target transceiver.

25. The transceiver of claim 24, wherein the transmitter is configured to lower  
the downstream transmission power in response to determining that the power level  
20 causes an above-threshold noise level in upstream transmissions from the target receiver.

26. The transceiver of claim 24, wherein the transmitter is configured to set  
the power level in part by selecting a signal constellation for transmitting data  
downstream.

27. The transceiver of claim 24, wherein the transmitter includes a  
25 probabilistic trellis encoder to perform the encoding.

28. The transceiver of claim 24, wherein the receiver includes a Viterbi decoder and is configured to scale 1D branch metrics used in the decoder in a manner responsive to reliability information derived from the received digital data.

29. A receiver capable of receiving signals from a mixed channel that includes a digital network and an analog channel serially connected to the digital network, the receiver comprising:

a Viterbi decoder configured to process the received signals in a manner responsive to reliability information derived from the signals, the reliability information being indicative of potential magnitudes of quantization noise in the received signals.

30. The receiver of claim 29, further comprising:  
an adder configured to subtract an estimate of an echo level from each received signal and to transmit the echo-subtracted signal to the Viterbi decoder; and  
a device configured to derive the reliability information from the received signals;  
and

wherein the adder and device are coupled to obtain the received signals in parallel.

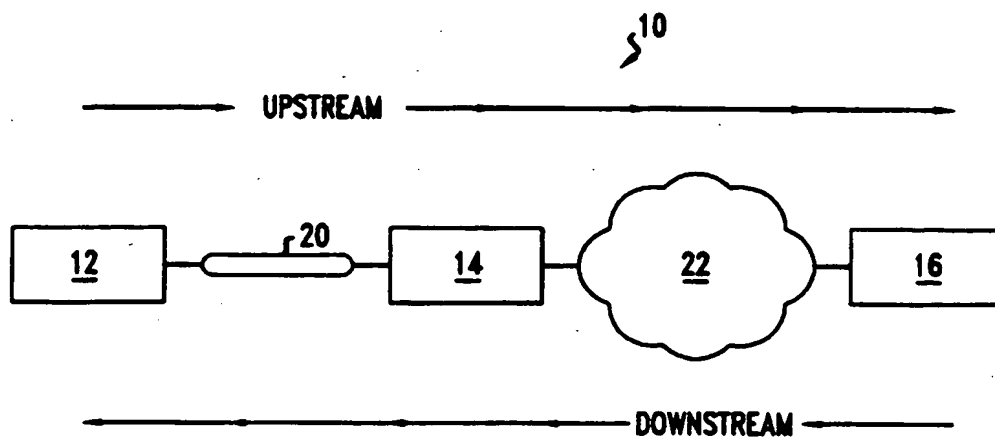
31. The receiver of claim 29, wherein the received signals are representative of signal points belonging to constellations, and the reliability information corresponding to ones of the received signals is a function of minimum distances of the constellations associated with the ones of the received signals.

32. The receiver of claim 29, wherein the Viterbi decoder is configured to scale 1D branch metrics that are used in decoding in a manner that is responsive to the reliability information.



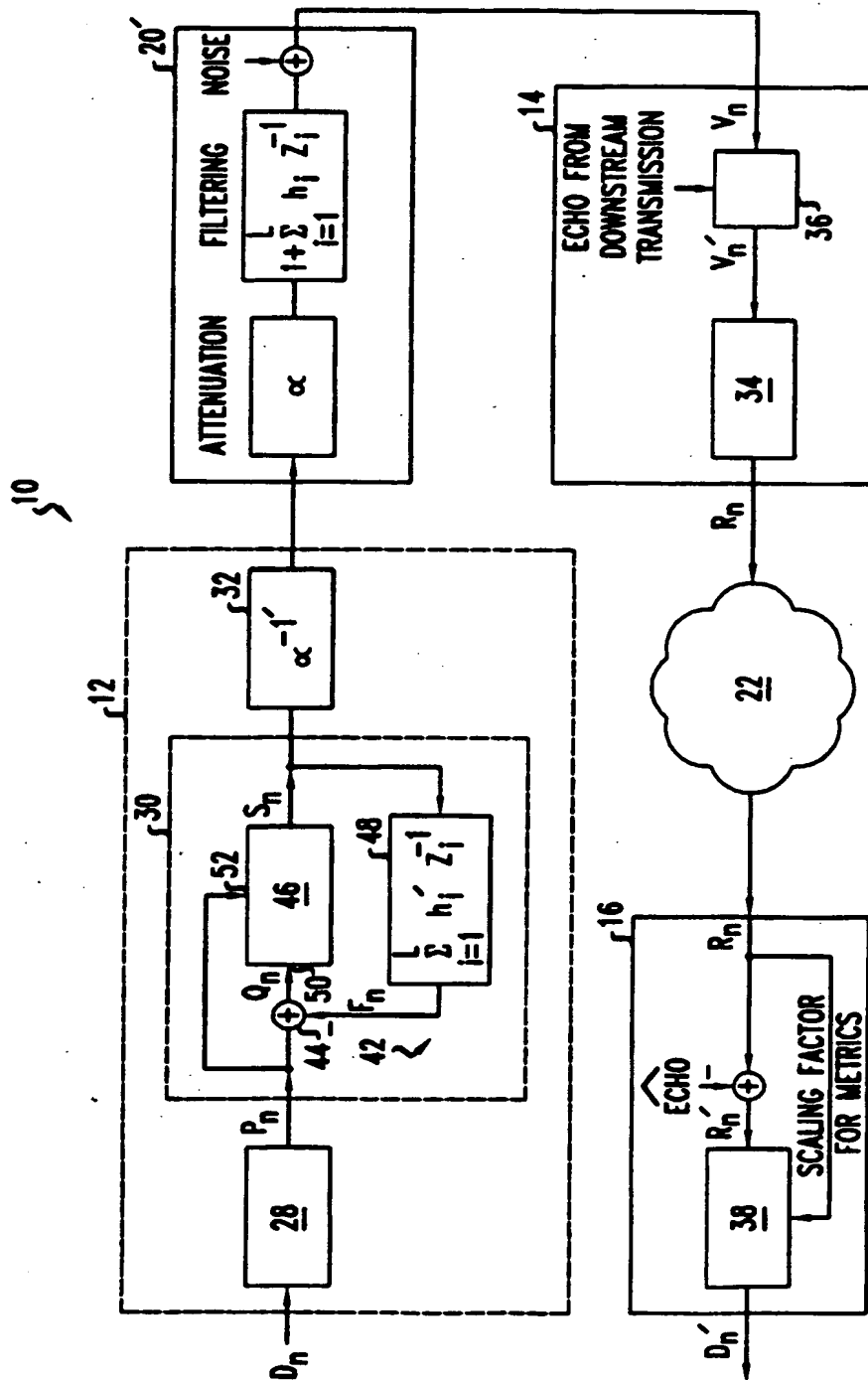
1/15

FIG. 1



2/15

FIG. 2



3/15

FIG. 3

$\pm A_1$	$\pm A_2$	$\pm A_3$	$\pm A_4$	$\pm A_5$	$\pm A_6$	$\pm A_7$	$\pm A_8$
0	$\pm 16.5$	$\pm 49.5$	$\pm 115.5$	$\pm 247.5$	$\pm 511.5$	$\pm 1039.5$	$\pm 2095.5$
$\pm 1$	$\pm 18.5$	$\pm 53.5$	$\pm 123.5$	$\pm 263.5$	$\pm 543.5$	$\pm 1103.5$	$\pm 2223.5$
$\pm 2$	$\pm 20.5$	$\pm 57.5$	$\pm 131.5$	$\pm 279.5$	$\pm 575.5$	$\pm 1167.5$	$\pm 2351.5$
$\pm 3$	$\pm 22.5$	$\pm 61.5$	$\pm 139.5$	$\pm 295.5$	$\pm 607.5$	$\pm 1231.5$	$\pm 2479.5$
$\pm 4$	$\pm 24.5$	$\pm 65.5$	$\pm 147.5$	$\pm 311.5$	$\pm 639.5$	$\pm 1295.5$	$\pm 2607.5$
$\pm 5$	$\pm 26.5$	$\pm 69.5$	$\pm 155.5$	$\pm 327.5$	$\pm 671.5$	$\pm 1359.5$	$\pm 2735.5$
$\pm 6$	$\pm 28.5$	$\pm 73.5$	$\pm 163.5$	$\pm 343.5$	$\pm 703.5$	$\pm 1423.5$	$\pm 2863.5$
$\pm 7$	$\pm 30.5$	$\pm 77.5$	$\pm 171.5$	$\pm 359.5$	$\pm 735.5$	$\pm 1487.5$	$\pm 2991.5$
$\pm 8$	$\pm 32.5$	$\pm 81.5$	$\pm 179.5$	$\pm 375.5$	$\pm 767.5$	$\pm 1551.5$	$\pm 3119.5$
$\pm 9$	$\pm 34.5$	$\pm 85.5$	$\pm 187.5$	$\pm 391.5$	$\pm 799.5$	$\pm 1615.5$	$\pm 3247.5$
$\pm 10$	$\pm 36.5$	$\pm 89.5$	$\pm 195.5$	$\pm 407.5$	$\pm 831.5$	$\pm 1679.5$	$\pm 3375.5$
$\pm 11$	$\pm 38.5$	$\pm 93.5$	$\pm 203.5$	$\pm 423.5$	$\pm 863.5$	$\pm 1743.5$	$\pm 3503.5$
$\pm 12$	$\pm 40.5$	$\pm 97.5$	$\pm 211.5$	$\pm 439.5$	$\pm 895.5$	$\pm 1807.5$	$\pm 3631.5$
$\pm 13$	$\pm 42.5$	$\pm 101.5$	$\pm 219.5$	$\pm 455.5$	$\pm 927.5$	$\pm 1871.5$	$\pm 3759.5$
$\pm 14$	$\pm 44.5$	$\pm 105.5$	$\pm 227.5$	$\pm 471.5$	$\pm 959.5$	$\pm 1935.5$	$\pm 3887.5$
$\pm 15$	$\pm 46.5$	$\pm 109.5$	$\pm 235.5$	$\pm 487.5$	$\pm 991.5$	$\pm 1999.5$	$\pm 4015.5$

4/15

FIG. 4

55	56	57	
± 16.5	± 1,039.5	± 2,063.5*	± 2,607.5
± 49.5	± 1,103.5	± 2,095.5	± 2,639.5*
± 81.5	± 1,167.5	± 2,127.5*	± 2,671.5*
± 115.5	± 1,231.5	± 2,159.5*	± 2,703.5*
± 147.5	± 1,295.5	± 2,191.5*	± 2735.5
± 179.5	± 1,359.5	± 2,223.5	± 2,767.5*
± 211.5	± 1,423.5	± 2,255.5*	± 2,799.5*
± 247.5	± 1,487.5	± 2,287.5*	± 2,831.5*
	± 1,551.5	± 2,319.5*	
± 279.5	± 1,615.5	± 2,351.5	± 2,863.5
± 311.5	± 1,679.5	± 2,383.5*	± 2,895.5*
± 343.5	± 1,743.5	± 2,415.5*	± 2,927.5*
± 375.5	± 1,807.5	± 2,447.5*	± 2,959.5*
± 407.5	± 1,871.5	± 2,479.5	± 2,991.5
± 439.5	± 1,935.5	± 2,511.5*	± 3,023.5*
± 471.5	± 1,999.5	± 2,543.5*	± 3,055.5*
± 511.5		± 2,575.5*	± 3,087.5*
± 543.5			± 3,119.5
± 575.5			± 3,151.5*
± 607.5			± 3,183.5*
± 639.5			± 3,215.5*
± 671.5			± 3,247.5
± 703.5			± 3,279.5*
± 735.5			± 3,311.5*
± 767.5			± 3,343.5*
± 799.5			± 3,375.5
± 831.5			± 3,407.5*
± 863.5			± 3,439.5*
± 895.5			± 3,471.5*
± 927.5			± 3,503.5
± 959.5			± 3,535.5*
± 991.5			± 3,567.5*
			± 3,599.5*

54

5/15

FIG. 5A

BIT PATTERN	SIGNAL POINT	POSITIVE MODULE AMOUNT	NEGATIVE MODULE AMOUNT
100100	639.5	+1,808	-1,311
100101	607.5	+1,808	-1,311
100110	575.5	+1,808	-1,311
100111	543.5	+1,808	-1,311
000000	511.5	+1,808	-1,311
000001	471.5	+1,816	-1,303
000010	439.5	+1,816	-1,303
000011	407.5	+1,816	-1,303
000100	375.5	+1,816	-1,303
000101	343.5	+1,816	-1,303
000110	311.5	+1,816	-1,303
000111	279.5	+1,816	-1,319
001000	247.5	+1,816	-1,351
001001	211.5	+1,788	-1,379
001010	179.5	+1,756	-1,411
001011	147.5	+1,724	-1,443
001100	115.5	+1,692	-1,475
001101	81.5	+1,662	-1,505
001110	49.5	+1,630	-1,537
001111	16.5	+1,599	-1,568
010000	-16.5	+1,568	-1,599
010001	-49.5	+1,537	-1,630
010010	-81.5	+1,505	-1,662
010011	-115.5	+1,475	-1,692
010100	-147.5	+1,443	-1,724
010101	-179.5	+1,411	-1,756
010110	-211.5	+1,379	-1,788
010111	-247.5	+1,351	-1,816
011000	-279.5	+1,319	-1,816
011001	-311.5	+1,303	-1,816
011010	-343.5	+1,303	-1,816
011011	-375.5	+1,303	-1,816
011100	-407.5	+1,303	-1,816
011101	-439.5	+1,303	-1,816
011110	-471.5	+1,303	-1,816
011111	-511.5	+1,311	-1,808
100000	-543.5	+1,311	-1,808
100001	-575.5	+1,311	-1,808
100010	-607.5	+1,311	-1,808
100011	-639.5	+1,311	-1,808

63

62

67

68

6/15

FIG. 5B

BIT PATTERN	SIGNAL POINT	SIGNAL POINT
100100	2,447.5*	-671.5
100101	2,415.5*	-703.5
100110	2,383.5*	-735.5
100111	2,351.5	-767.5
000000	2,319.5*	-799.5
000001	2,287.5*	-831.5
000010	2,255.5*	-863.5
000011	2,223.5	-895.5
000100	2,191.5*	-927.5
000101	2,159.5*	-959.5
000110	2,127.5*	-991.5
000111	2,095.5	-1,039.5
001000	2,063.5*	-1,103.5
001001	1,999.5	-1,167.5
001010	1,935.5	-1,231.5
001011	1,871.5	-1,295.5
001100	1,807.5	-1,359.5
001101	1,743.5	-1,423.5
001110	1,679.5	-1,487.5
001111	1,615.5	-1,551.5
010000	1,551.5	-1,615.5
010001	1,487.5	-1,679.5
010010	1,423.5	-1,743.5
010011	1,359.5	-1,807.5
010100	1,295.5	-1,871.5
010101	1,231.5	-1,935.5
010110	1,167.5	-1,999.5
010111	1,103.5	-2,063.5*
011000	1,039.5	-2,095.5
011001	991.5	-2,127.5*
011010	959.5	-2,159.5*
011011	927.5	-2,191.5*
011100	895.5	-2,223.5
011101	863.5	-2,255.5*
011110	831.5	-2,287.5*
011111	799.5	-2,319.5*
100000	767.5	-2,351.5
100001	735.5	-2,383.5*
100010	703.5	-2,415.5*
100011	671.5	-2,447.5*

63

64

65

7/15

FIG. 6A

BIT PATTERN	SIGNAL POINT	POSITIVE MODULE AMOUNT	NEGATIVE MODULE AMOUNT
101000	767.5	+2,064	-1,567
101001	735.5	+2,064	-1,567
101010	703.5	+2,064	-1,567
101011	671.5	+2,064	-1,567
101100	639.5	+2,064	-1,567
101101	607.5	+2,064	-1,567
101110	575.5	+2,064	-1,567
101111	543.5	+2,064	-1,583
000000	511.5	+2,064	-1,615
000001	471.5	+2,072	-1,639
000010	439.5	+2,072	-1,671
000011	407.5	+2,072	-1,703
000100	375.5	+2,072	-1,735
000101	343.5	+2,072	-1,767
000110	311.5	+2,072	-1,799
000111	279.5	+2,072	-1,831
001000	247.5	+2,072	-1,863
001001	211.5	+2,076	-1,891
001010	179.5	+2,076	-1,923
001011	147.5	+2,076	-1,955
001100	115.5	+2,076	-1,987
001101	81.5	+2,078	-2,017
001110	49.5	+2,078	-2,049
001111	16.5	+2,079	-2,080
010000	-16.5	+2,080	-2,079
010001	-49.5	+2,049	-2,078
010010	-81.5	+2,017	-2,078
010011	-115.5	+1,987	-2,076
010100	-147.5	+1,955	-2,076
010101	-179.5	+1,923	-2,076
010110	-211.5	+1,891	-2,076
010111	-247.5	+1,863	-2,072
011000	-279.5	+1,831	-2,072
011001	-311.5	+1,799	-2,072
011010	-343.5	+1,767	-2,072
011011	-375.5	+1,735	-2,072
011100	-407.5	+1,703	-2,072
011101	-439.5	+1,671	-2,072
011110	-471.5	+1,639	-2,072
011111	-511.5	+1,615	-2,064
100000	-543.5	+1,583	-2,064
100001	-575.5	+1,567	-2,064
100010	-607.5	+1,567	-2,064
100011	-639.5	+1,567	-2,064
100100	-671.5	+1,567	-2,064
100101	-703.5	+1,567	-2,064
100110	-735.5	+1,567	-2,064
100111	-767.5	+1,567	-2,064

73

72

77

78

8/15

FIG. 6B

BIT PATTERN	SIGNAL POINT	SIGNAL POINT
101000	2,831.5*	- 799.5
101001	2,799.5*	- 831.5
101010	2,767.5*	- 863.5
101011	2,735.5	- 895.5
101100	2,703.5*	- 927.5
101101	2,671.5*	- 959.5
101110	2,639.5*	- 991.5
101111	2,607.5	- 1,039.5
000000	2,575.5*	- 1,103.5
000001	2,543.5*	- 1,167.5
000010	2,511.5*	- 1,231.5
000011	2,479.5	- 1,295.5
000100	2,447.5*	- 1,359.5
000101	2,415.5*	- 1,423.5
000110	2,383.5*	- 1,487.5
000111	2,351.5	- 1,551.5
001000	2,319.5*	- 1,615.5
001001	2,287.5*	- 1,679.5
001010	2,255.5*	- 1,743.5
001011	2,223.5	- 1,807.5
001100	2,191.5*	- 1,871.5
001101	2,159.5*	- 1,935.5
001110	2,127.5*	- 1,999.5
001111	2,095.5	- 2,063.5*
010000	2,063.5*	- 2,095.5
010001	1,999.5	- 2,127.5*
010010	1,935.5	- 2,159.5*
010011	1,871.5	- 2,191.5*
010100	1,807.5	- 2,223.5
010101	1,743.5	- 2,255.5*
010110	1,679.5	- 2,287.5*
010111	1,615.5	- 2,319.5*
011000	1,551.5	- 2,351.5
011001	1,487.5	- 2,383.5*
011010	1,423.5	- 2,415.5*
011011	1,359.5	- 2,447.5*
011100	1,295.5	- 2,479.5
011101	1,231.5	- 2,511.5*
011110	1,167.5	- 2,543.5*
011111	1,103.5	- 2,575.5*
100000	1,039.5	- 2,607.5
100001	991.5	- 2,639.5*
100010	959.5	- 2,671.5*
100011	927.5	- 2,703.5*
100100	895.5	- 2,735.5
100101	863.5	- 2,767.5*
100110	831.5	- 2,799.5*
100111	799.5	- 2,831.5*

73

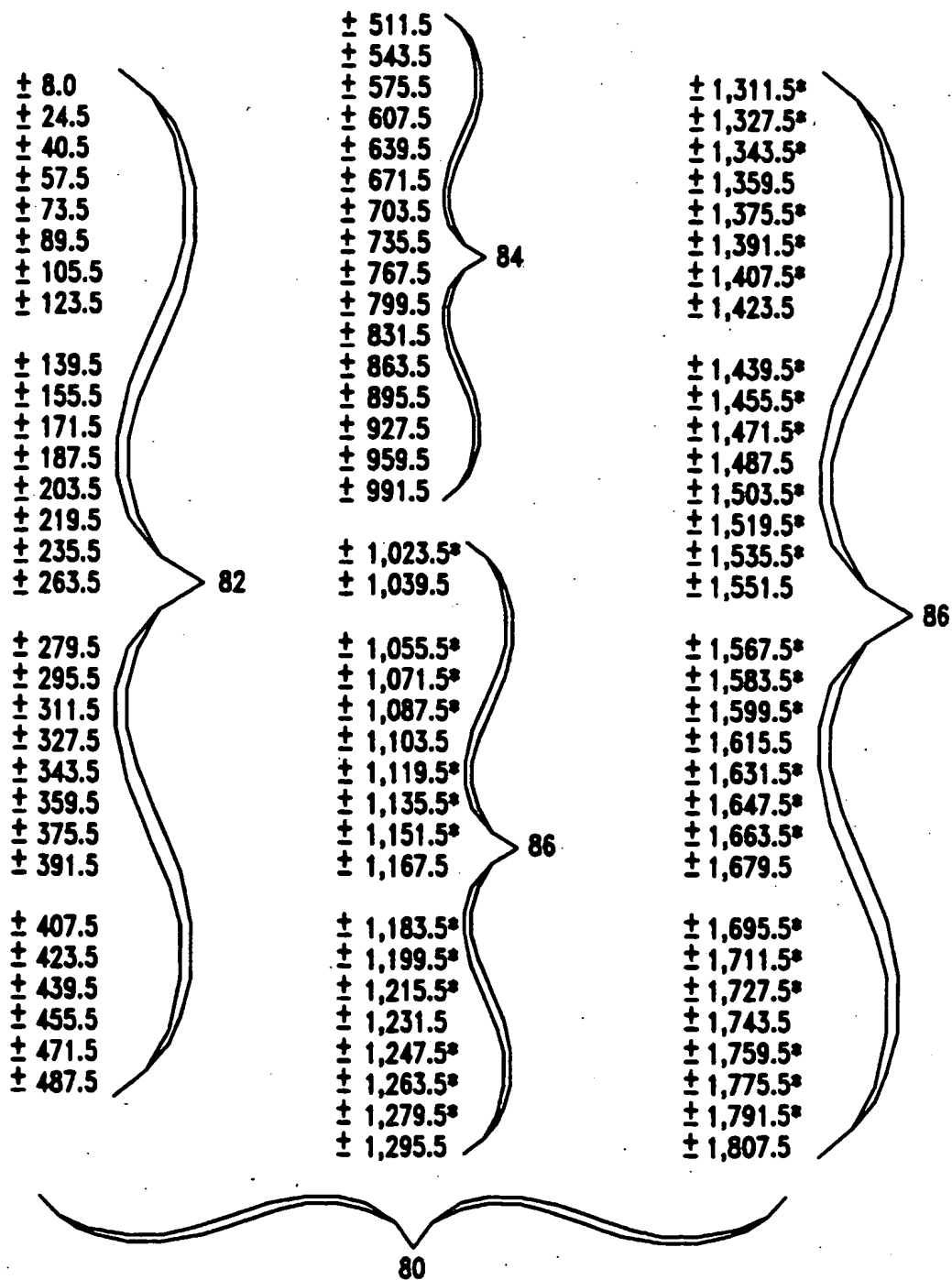
74

75



9/15

FIG. 7



10/15

FIG. 8A

BIT PATTERN	SIGNAL POINT	POSITIVE MODULE AMOUNT	NEGATIVE MODULE AMOUNT
100100	327.5	+ 904	- 671
100101	311.5	+ 904	- 671
100110	295.5	+ 904	- 671
100111	279.5	+ 904	- 671
000000	263.5	+ 904	- 671
000001	235.5	+ 916	- 659
000010	219.5	+ 916	- 659
000011	203.5	+ 916	- 659
000100	187.5	+ 916	- 659
000101	171.5	+ 916	- 659
000110	155.5	+ 916	- 667
000111	139.5	+ 916	- 683
001000	123.5	+ 916	- 699
001001	105.5	+ 918	- 713
001010	89.5	+ 902	- 729
001011	73.5	+ 886	- 745
001100	57.5	+ 870	- 761
001101	40.5	+ 855	- 776
001110	24.5	+ 839	- 792
001111	8.0	+ 823.5	- 807.5
010000	-8.0	+ 807.5	- 823.5
010001	-24.5	+ 792	- 839
010010	-40.5	+ 776	- 855
010011	-57.5	+ 761	- 870
010100	-73.5	+ 745	- 886
010101	-89.5	+ 729	- 902
010110	-105.5	+ 713	- 918
010111	-123.5	+ 699	- 916
011000	-139.5	+ 683	- 916
011001	-155.5	+ 667	- 916
011010	-171.5	+ 659	- 916
011011	-187.5	+ 659	- 916
011100	-203.5	+ 659	- 916
011101	-219.5	+ 659	- 916
011110	-235.5	+ 659	- 916
011111	-263.5	+ 671	- 904
100000	-279.5	+ 671	- 904
100001	-295.5	+ 671	- 904
100010	-311.5	+ 671	- 904
100011	-327.5	+ 671	- 904

93

92

97

98

11/15

FIG. 8B

BIT PATTERN	SIGNAL POINT	SIGNAL POINT
100100	1,231.5	-343.5
100101	1,215.5*	-359.5
100110	1,199.5*	-375.5
100111	1,183.5*	-391.5
000000	1,167.5	-407.5
000001	1,151.5*	-423.5
000010	1,135.5*	-439.5
000011	1,119.5*	-455.5
000100	1,103.5	-471.5
000101	1,087.5*	-487.5
000110	1,071.5*	-511.5
000111	1,055.5*	-543.5
001000	1,039.5	-575.5
001001	1,023.5*	-607.5
001010	991.5	-639.5
001011	959.5	-671.5
001100	927.5	-703.5
001101	895.5	-735.5
001110	863.5	-767.5
001111	831.5	-799.5
010000	799.5	-831.5
010001	767.5	-863.5
010010	735.5	-895.5
010011	703.5	-927.5
010100	671.5	-959.5
010101	639.5	-991.5
010110	607.5	-1,023.5*
010111	575.5	-1,039.5
011000	543.5	-1,055.5*
011001	511.5	-1,071.5*
011010	487.5	-1,087.5*
011011	471.5	-1,103.5
011100	455.5	-1,119.5*
011101	439.5	-1,135.5*
011110	423.5	-1,151.5*
011111	407.5	-1,167.5
100000	391.5	-1,183.5*
100001	375.5	-1,199.5*
100010	359.5	-1,215.5*
100011	343.5	-1,231.5

93

94

95

12/15

FIG. 9A

BIT PATTERN	SIGNAL POINT	POSITIVE MODULE AMOUNT	NEGATIVE MODULE AMOUNT
101000	391.5	+1,032	-799
101001	375.5	+1,032	-799
101010	359.5	+1,032	-799
101011	343.5	+1,032	-799
101100	327.5	+1,032	-799
101101	311.5	+1,032	-799
101110	295.5	+1,032	-807
101111	279.5	+1,032	-823
000000	263.5	+1,032	-839
000001	235.5	+1,044	-843
000010	219.5	+1,044	-859
000011	203.5	+1,044	-875
000100	187.5	+1,044	-891
000101	171.5	+1,044	-907
000110	155.5	+1,044	-923
000111	139.5	+1,044	-939
001000	123.5	+1,044	-955
001001	105.5	+1,046	-969
001010	89.5	+1,046	-985
001011	73.5	+1,046	-1,001
001100	57.5	+1,046	-1,017
001101	40.5	+1,047	-1,032
001110	24.5	+1,047	-1,048
001111	8.0	+1,047.5	-1,047.5
010000	-8.0	+1,047.5	-1,047.5
010001	-24.5	+1,048	-1,047
010010	-40.5	+1,032	-1,047
010011	-57.5	+1,017	-1,046
010100	-73.5	+1,001	-1,046
010101	-89.5	+985	-1,046
010110	-105.5	+969	-1,046
010111	-123.5	+955	-1,044
011000	-139.5	+939	-1,044
011001	-155.5	+923	-1,044
011010	-171.5	+907	-1,044
011011	-187.5	+891	-1,044
011100	-203.5	+875	-1,044
011101	-219.5	+859	-1,044
011110	-235.5	+843	-1,044
011111	-263.5	+839	-1,032
100000	-279.5	+823	-1,032
100001	-295.5	+807	-1,032
100010	-311.5	+799	-1,032
100011	-327.5	+799	-1,032
100100	-343.5	+799	-1,032
100101	-359.5	+799	-1,032
100110	-375.5	+799	-1,032
100111	-391.5	+799	-1,032

103

102

107

108

13/15

FIG. 9B

BIT PATTERN	SIGNAL POINT	SIGNAL POINT
101000	1,423.5	-407.5
101001	1,407.5*	-423.5
101010	1,391.5*	-439.5
101011	1,375.5*	-455.5
101100	1,359.5	-471.5
101101	1,343.5*	-487.5
101110	1,327.5*	-511.5
101111	1,311.5*	-543.5
000000	1,295.5	-575.5
000001	1,279.5*	-607.5
000010	1,263.5*	-639.5
000011	1,247.5*	-671.5
000100	1,231.5	-703.5
000101	1,215.5*	-735.5
000110	1,199.5*	-767.5
000111	1,183.5*	-799.5
001000	1,167.5	-831.5
001001	1,151.5*	-863.5
001010	1,135.5*	-895.5
001011	1,119.5*	-927.5
001100	1,103.5	-959.5
001101	1,087.5*	-991.5
001110	1,071.5*	-1,023.5*
001111	1,055.5*	-1,039.5
010000	1,039.5	-1,055.5*
010001	1,023.5*	-1,071.5*
010010	991.5	-1,087.5*
010011	959.5	-1,103.5
010100	927.5	-1,119.5*
010101	895.5	-1,135.5*
010110	863.5	-1,151.5*
010111	831.5	-1,167.5
011000	799.5	-1,183.5*
011001	767.5	-1,199.5*
011010	735.5	-1,215.5*
011011	703.5	-1,231.5
011100	671.5	-1,247.5*
011101	639.5	-1,263.5*
011110	607.5	-1,279.5*
011111	575.5	-1,295.5
100000	543.5	-1,311.5*
100001	511.5	-1,327.5*
100010	487.5	-1,343.5*
100011	471.5	-1,359.5
100100	455.5	-1,375.5*
100101	439.5	-1,391.5*
100110	423.5	-1,407.5*
100111	407.5	-1,423.5

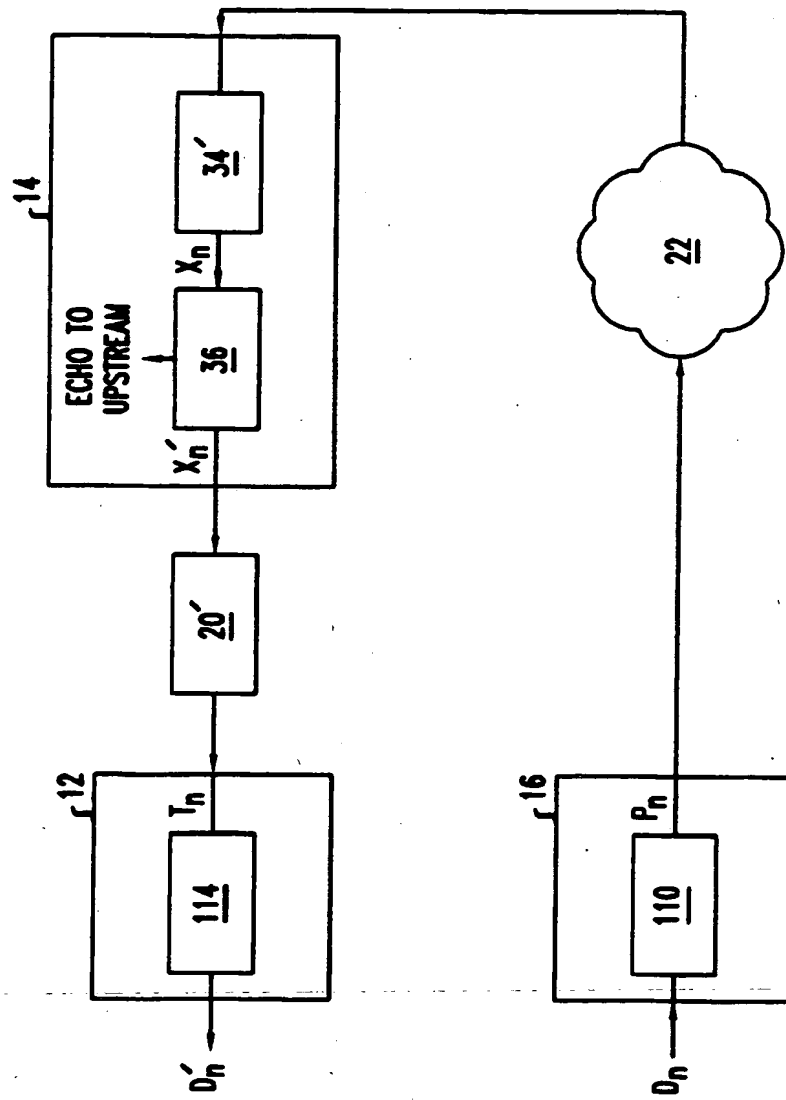
103

104

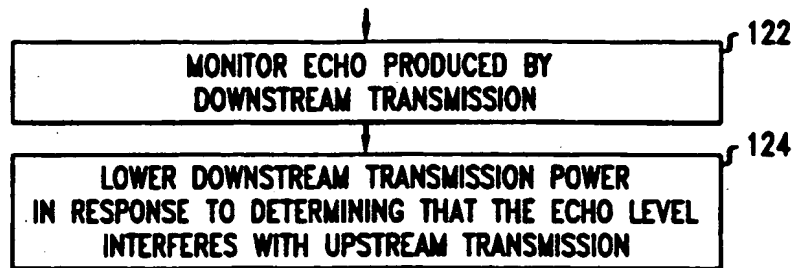
105

14/15

FIG. 10



15/15

**FIG. 11**

120

**THIS PAGE BLANK (USPTO)**



(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
18 October 2001 (18.10.2001)

PCT

(10) International Publication Number  
**WO 01/78241 A3**(51) International Patent Classification<sup>7</sup>: H04L 25/49.  
25/03, H04B 3/32(74) Agent: MCCABE, John, F.: Lucent Technologies Inc.,  
P.O. Box 679, Holmel, NJ 07733-3030 (US).

(21) International Application Number: PCT/US01/11162

(81) Designated States (*national*): CA, JP, KR.

(22) International Filing Date: 5 April 2001 (05.04.2001)

(84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

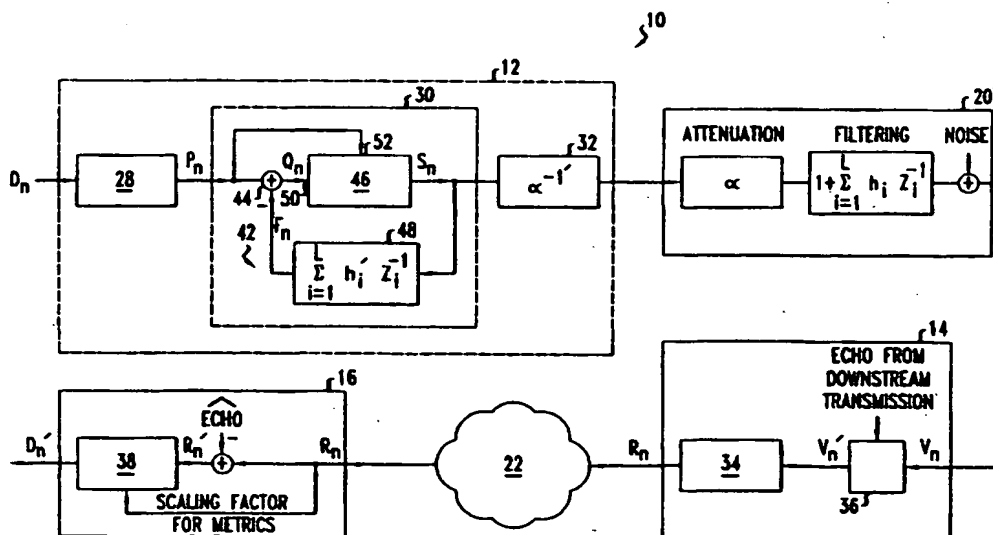
(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/195,494 6 April 2000 (06.04.2000) US  
60/249,123 16 November 2000 (16.11.2000) USPublished:  
— with international search report(71) Applicant: LUCENT TECHNOLOGIES INC.  
[US/US]: 600 Mountain Avenue, Murray Hill, NJ  
07974-0636 (US).(88) Date of publication of the international search report:  
16 May 2002(72) Inventor: LEE-FANG, Wei; 200 Yale Drive, Lincroft, NJ  
07738 (US).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: UPSTREAM DATA TRANSMISSION



(57) Abstract: A process transmits digital data to an A/D converter via an analog channel. The process includes generating a sequence of output signals by precoding a sequence of input signal points to precompensate for ISI in the analog channel. In the precoding is matched to the ISI, at least one of the output signals would be distorted by the ISI to a signal representative of a signal point lying between quantization levels of the A/D converter. If the precoding is matched to the ISI, others of the output signals would be distorted by the ISI to signals representative of signal points that are quantization levels of the A/D converter. A majority of the input signal points are quantization levels of the A/D converter.

WO 01/78241 A3

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/11162

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04L25/49 H04L25/03 H04B3/32

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 34565 A (MOTOROLA INC) 8 July 1999 (1999-07-08) abstract page 11, line 14 -page 21, line 8 figures 13,14A,14B,15 ---	1-19
X	US 5 953 376 A (WEI LEE-FANG) 14 September 1999 (1999-09-14) abstract column 2, line 28 - line 67 column 4, line 43 -column 5, line 23 figures 1,3A-3C ---	1,7-9,19
Y	---	2-6, 10-18
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

17 October 2001

Date of mailing of the international search report

31 01. 2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Toumpoulidis, T

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/11162

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>US 5 854 812 A (FISCHER ROBERT ET AL)  29 December 1998 (1998-12-29)  abstract  column 2, line 8 - line 65  figures 1,2,7</p> <p>-----</p>	<p>2-6,  10-18</p>

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 01/11162

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-19

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-19

Transmitting process and corresponding transmitter using precoding

2. Claims: 20-28

Process and corresponding transceiver configured to reduce downstream transmission power

3. Claims: 29-32

Receiver with Viterbi decoder using reliability information

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/11162

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9934565	A	08-07-1999	US 6198776 B1	06-03-2001
			AU 1586799 A	19-07-1999
			BR 9814538 A	02-10-2001
			CN 1283351 T	07-02-2001
			EP 1038378 A1	27-09-2000
			US 6173015 B1	09-01-2001
			WO 9934565 A1	08-07-1999
US 5953376	A	14-09-1999	JP 10150473 A	02-06-1998
US 5854812	A	29-12-1998	DE 4440947 A1	25-04-1996